

Due Diligence Form

Other Non-Individual Customers

Please complete neatly in BLOCK LETTERS

OFFICE USE ONLY

NEW CHANGE OF DETAILS

ACCOUNT NUMBER:

CHECKED BY:

SECTION ONE – CUSTOMER DETAILS

ENTITY NAME

TRADING NAME OR OTHER NAMES KNOWN BY (IF ANY)

COUNTRY OF FORMATION

INDUSTRY (main product or service provided by the entity)

IS THE ENTITY REGISTERED WITH ASIC OR AN EQUIVALENT FOREIGN REGISTRATION BODY?

YES

NO

If 'yes', name of registration body

REGISTRATION NUMBER

REGISTERED BUSINESS ADDRESS

STREET

CITY / TOWN

STATE

POSTCODE

COUNTRY

POSTAL ADDRESS

PRINCIPAL PLACE OF BUSINESS

STREET

CITY / TOWN

STATE

POSTCODE

COUNTRY

TELEPHONE NUMBER

MOBILE PHONE NUMBER

WEBSITE

POLITICALLY EXPOSED PERSONS

TO THE BEST OF THE CUSTOMER'S KNOWLEDGE, IS THE ENTITY OR ANY OF ITS RELATED PERSONS A POLITICALLY EXPOSED PERSON?

YES

NO

If 'yes', provide details

CUSTOMER TYPE

PARTNERSHIP

Proceed to SECTION TWO - PARTNERSHIPS

GOVERNMENT BODY

Proceed to SECTION THREE - GOVERNMENT BODIES

ASSOCIATION OR REGISTERED CO-OPERATIVE

Proceed to SECTION FOUR - ASSOCIATIONS & CO-OPERATIVES



THE PERTH MINT
AUSTRALIA

SECTION TWO – PARTNERSHIPS

TYPE OF PARTNERSHIP

IS THE PARTNERSHIP REGULATED?

YES

NO

If 'yes', name of regulator

MEMBERSHIP NUMBER

NATURE OF BUSINESS ACTIVITIES

PARTNERS - COMPANY PARTNERS

PARTNER 1

FULL NAME

REGISTERED OFFICE ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 2

FULL NAME

REGISTERED OFFICE ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 3

FULL NAME

REGISTERED OFFICE ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 4

FULL NAME

RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

Please complete a company due diligence form for each partner company



THE PERTH MINT
AUSTRALIA

PARTNERS - INDIVIDUAL PARTNERS

PARTNER 1

TICK IF PARTNER 1 IS A MANAGING PARTNER

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 2

TICK IF PARTNER 2 IS A MANAGING PARTNER

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 3

TICK IF PARTNER 3 IS A MANAGING PARTNER

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

PARTNER 4

TICK IF PARTNER 4 IS A MANAGING PARTNER

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

Proceed to Section 5 - Declaration



SECTION THREE – GOVERNMENT BODIES

TYPE OF GOVERNMENT BODY

ENTITY EMANATION ESTABLISHED UNDER LEGISLATION

FULL NAME OF LEGISLATION

[Proceed to Section 5 - Declaration](#)

SECTION FOUR – ASSOCIATIONS AND CO-OPERATIVES

TYPE OF ENTITY

INCORPORATED ASSOCIATION UNINCORPORATED ASSOCIATION REGISTERED CO-OPERATIVE

OFFICEHOLDERS

PRESIDENT (OR EQUIVALENT)

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

SECRETARY (OR EQUIVALENT)

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

TREASURER (OR EQUIVALENT)

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS

CITY / TOWN

STATE

POSTCODE

COUNTRY

[Proceed to Section 5 - Declaration](#)

SECTION FIVE – DECLARATION

AS AUTHORISED REPRESENTATIVE OF THE ABOVE NAMED ENTITY, YOU

- confirm and warrant that all the information, including the identification material, provided in this form is true, valid and correct; and
- that The Perth Mint will rely on the information to review the customers application; and,
- warrant that you have the authority to consent to the disclosure of the personal information of any third party individual as required and provided in accordance with this form.
- confirm that you have reviewed and accept The Perth Mint's Terms and Conditions (available at <https://www.perthmint.com/terms-and-conditions/>)

Information provided to The Perth Mint for the purposes of this due diligence will be held as per The Perth Mint Privacy Policy. This information is being gathered to comply with a legal obligation placed upon us. For more information on the purposes of collection, please read our Know Your Customer (KYC) Collection Notice (our collection notices and The Perth Mint Privacy Policy are available at <https://perthmint.com/privacy-policy/>). If you have questions about our privacy policy or how we handle your information, please contact us at privacyofficer@perthmint.com.

FULL NAME		DATE OF BIRTH	
POSITION	PHONE (including area code)	PRIMARY EMAIL ADDRESS	
CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)			
CITY / TOWN	STATE	POSTCODE	COUNTRY
SIGNATURE	COMPANY STAMP (IF APPLICABLE)		
DATE			

SECTION SIX – IDENTIFICATION

Only after we are satisfied that proof of your identity has been established and the validation procedure has been completed will we be able to validate your account for bullion transactions.

When lodging this form, please provide the following documents:

- For a partnership - the partnership agreement;
- For an association/co-operative - the constitution/rules of the association, and meeting minutes evidencing the current Chairperson, Secretary, Treasurer, or their equivalent officers.
- For the authorised representative and partner or officeholder:
 - a certified copy of a driver's licence, passport or national ID card showing the person's signature and photograph; and
 - if the photographic identification doesn't show their current residential address, a utility bill or government notice showing their current residential address.

All documentation provided must be current. If any documentation is in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

A certified copy means a document that has been certified as a true copy of the original document by an individual who is authorised to do so.

For Australian customers, certification/notarisation is acceptable by the following:

- Legal Practitioner (including lawyers, judges, magistrates, registrar of a court)
- Medical Practitioner
- Pharmacist
- Justice of the Peace
- Notary Public
- Commissioner of Declarations
- Commissioner of Affidavits
- Police Officer
- Member of a professional accounting body
- Australian Consular Official
- An authorised representative of a financial institution

For overseas customers, certification/notarisation is acceptable by the following:

- Commissioner for Oaths
- Justice of the Peace
- Notary Public

